

Docket No. 240486US2S

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yoshiyuki TANAKA

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: NONVOLATILE SEMICONDUCTOR MEMORY

GAU:

EXAMINER:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

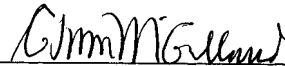
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak

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C. Irvin McClelland  
Registration Number 21,124



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(OSMMN 05/03)

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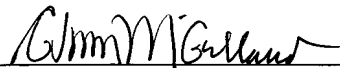
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IN RE APPLICATION OF: Yoshiyuki TANAKA

SERIAL NO.: New Application

FILED: Herewith

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**STATEMENT OF RELEVANCY**

**Reference AO (8-153398) on Form PTO-1449:**

This way of dealing over-program issue using page-erase function is disclosed.

**Reference AP (11-176177) on Form PTO-1449:**

This way of dealing over-program issue using page-erase function is disclosed.

**Reference AQ (3-295097) on Form PTO-1449:**

This publication is referred to in the specification. See page 3, line 14.

**Reference AR (11-176177) on Form PTO-1449:**

This publication is referred to in the specification. See page 3, line 16.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
240486US2SSERIAL NO.  
NEW APPLICATION

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Yoshiyuki TANAKAFILING DATE  
HEREWITH

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,293,337	03/08/94	Seiichi ARITOME, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	8-153398	06/11/96	Japan		X
	AP	11-176177	07/02/99	Japan		X
	AQ	3-295097	12/26/91	Japan		X
	AR	8-143398	06/04/96	Japan		X
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.